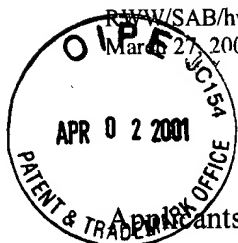


GP-1655



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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#5

Applicants:

C. Thomas Caskey, John M. Shumaker and Andres Metspalu

Application No.: 09/711,476

Group Art Unit: 1655

Filed: November 13, 2000

Examiner: Not Assigned

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Title:

PARALLEL PRIMER EXTENSION APPROACH TO NUCLEIC ACID
SEQUENCE ANALYSIS

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231	
on <u>3-29-01</u>	<u>Hollie Wakefield</u>
Date	Signature
<u>HOLLIE WAKEFIELD</u>	
Typed or printed name of person signing certificate	

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

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Sir:

This Information Disclosure Statement is submitted:

- ☐ under 37 CFR 1.129(a), or
(First/Second submission after Final Rejection)
- ☒ under 37 CFR 1.97(b), or
(Within any one of the following time periods: three months of filing national application (other than a CPA) or date of entry of the national stage in an international application; or before the mailing date of a first office action on the merits in a non-provisional application, including a CPA, or a Request for Continued Examination).
- ☐ under 37 CFR 1.97(c) together with either:
- ☐ a Statement under 37 CFR 1.97(e), as checked below, or
- ☐ a \$180.00 fee under 37 CFR 1.17(p), or
(After the 37 CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with:
- ☐ a Statement under 37 CFR 1.97(e), as checked below, and
- ☐ a \$180.00 fee under 37 CFR 1.17(p), or
(Filed after final action or notice of allowance, whichever occurs first, but on or before payment of the issue fee)
- ☐ under 37 CFR 1.97(i):
Applicant requests that the IDS and cited reference(s) be placed in the application filewrapper.
(Filed after payment of issue fee)

- ☐ A copy of each above-cited application, including the current claims, is enclosed, except those entered in prior application, U.S. Application No. [], to which priority under 35 U.S.C. 120 is claimed. [The earlier application contains copies of the application, including the current claims.]

The Examiner is requested to return a copy of the above list of pending applications indicating which references were considered with the next office communication.

It is requested that the information disclosed herein be made of record in this application.

Method of payment:

- ☐ A check for the fee noted above is enclosed, or the fee has been included in the check with the accompanying Reply. A copy of this Statement is enclosed.
- ☐ Please charge Deposit Account 08-0380 in the amount of \$[]. A copy of this Statement is enclosed.
- ☒ Please charge any deficiency in fees and credit any overpayment to Deposit Account 08-0380.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By *Sandra A. Brockman*
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Lexington, Massachusetts 02421-4799

Dated: *March 29, 2001*

Statement Under 37 CFR 1.97(e)

- ☐ Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement; or
- ☐ No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Statement Under 37 CFR 1.704(d) (Patent Term Adjustment)

- ☐ Each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.
- ☒ Enclosed herewith is form PTO-1449:
- ☐ Copies of the cited references are enclosed.
- ☒ All cited references are from those entered in prior application, U.S. Application No. 08/564,100, to which priority under 35 U.S.C. 120 is claimed. The earlier application contains copies of the cited references.
- ☐ The listed references were cited in the enclosed International Search Report in a counterpart foreign application.

Concise Explanation Requirement (non-English references):

- ☐ The "concise explanation" requirement for reference(s) ☐ under 37 CFR 1.98(a)(3) is satisfied by:
- ☐ the explanation provided on the attached sheet.
- ☐ the explanation provided in the Specification.
- ☐ submission of the enclosed International Search Report.
- ☐ the enclosed English language abstract.
- ☐ Applicant requests that the following pending applications be considered:

Examiner's
Initials

____ U.S. Patent Application No. [], Publication No. [], Publication Date [],
by [inventor(s)], filed [], Docket No.: []

____ U.S. Patent Application No. [], Publication No. [], Publication Date [],
by [inventor(s)], filed [], Docket No.: []

____ U.S. Patent Application No. [], Publication No. [], Publication Date [],
by [inventor(s)], filed [], Docket No.: []

Examiner_____
Date

PTO-1449 REPRODUCED

ATTORNEY DOCKET NO.
2875:1001-007APPLICATION NO.
09/711,476INFORMATION DISCLOSURE CITATION
IN AN APPLICATIONAPPLICANT
C. Thomas Caskey et al.

March 26, 2001

(Use several sheets if necessary)

FILING DATE
November 13, 2000GROUP
1655

U.S. PATENT DOCUMENTS

EXAM- INER INI- TIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
	AA	5,202,231	13-April-1993	Drmanac, R.T., et al.			
	AB	5,302,509	12-April-1994	Cheeseman, P.C.			
	AC	4,689,405	25-Aug-1987	Frank, R. et al.			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION YES NO
	AL	WO 89/10977	16-Nov-1989	PCT			
	AM	WO 90/09455	23-Aug-1990	PCT			
	AN	WO 90/15070	13-Dec-1990	PCT			
	AO	WO 91/13075	05-Sept-1991	PCT			
	AP	WO 92/10587	25-June-1992	PCT			
	AQ	WO 92/10588	25-June-1992	PCT			
	AL2	WO 92/15712	17-Sept-1992	PCT			
	AM2	WO 92/16657	01-Oct-1992	PCT			
	AN2	WO 90/13666	15-Nov-1990	PCT			
	AO2	WO 90/04652	03-May-1990	PCT			
	AP2	WO 95/15970	15-June-1995	PCT			
	AQ2	WO 91/06678	16-May-1991	PCT			
	AL3	WO 93/05183	18-March-1993	PCT			
	AM3	WO 93/13220	18-July-1993	PCT			
	AN3	WO 93/17126	2-Sept-1993	PCT			
	AO3	WO 93/21340	28-Oct-1993	PCT			

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	AR	Chemical Abstracts,113(25):161 (1990), Edwards, A. et al., "Automated DNA sequencing of the human HPRT locus," <i>Genomics</i> , 6(4):593-608 (1990)
	AS	Chemical Abstracts,117(23):645 (1992), Monnat, R.J. et al., "Nucleotide sequence analysis of human hypoxanthine phosphoribosyltransferase (HPRT) gene deletions," <i>Genomics</i> , 13(3):777-787 (1992)

EXAMINER

DATE CONSIDERED

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